COMMONWEALTH OF KENTUCKY BEFORE THE PUBLIC SERVICE COMMISSION

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KENTUCKY UTILITIES COMPANY)
) CASE NO. 98-275
ALLEGED FAILURE TO COMPLY WITH)
ADMINISTRATIVE REGULATION)
807 KAR 5:041. SECTION 3	Ì

ORDER

Kentucky Utilities Company ("KU"), a Kentucky corporation which engages in the distribution of electricity to the public for compensation for lights, heat, power, and other uses, is a utility subject to Commission jurisdiction. KRS 278.010(3)(a).

KRS 278.280(2) directs the Commission to prescribe rules and regulations for the performance of services by utilities. Pursuant to this statutory directive, the Commission promulgated Administrative Regulation 807 KAR 5:041, Section 3, which requires electric utilities to maintain their plant and facilities in accordance with the standards of the National Electrical Safety Code (1990 edition) ("NESC").

Commission Staff has submitted to the Commission a Utility Accident Investigation Report dated August 14, 1997, appended hereto, in which Commission Staff alleges:

1. On June 19, 1997, Danny Luck was injured while setting a utility pole near the KU Tunnel Hill Substation in Robards, Kentucky.

- 2. At the time of the incident, a KU work crew consisting of Luck, Shea Davis, Rodney Roberson, Mike Schuette, and Tony Bingham were setting a 35-foot utility pole on an energized 3-phase 7,200 volt circuit at the entrance of KU's Tunnel Hill Substation.
- 3. At the time of the incident, the KU crew had covered the center phase and road phase of the 7,200 volt circuit with line hose and had tagged out the field phase. Using an extendo stick, Davis held the primary phase out of the way. Roberson operated a digger derrick truck which held the new utility pole in place while Bingham shovelled dirt around the pole and Luck tamped that dirt with a hydraulic tamper.
- 4. After installing the utility pole, the KU crew began removing and storing its equipment. While Roberson removed the derrick truck's boom from the 3-phase circuit, the pole grabber assembly at the end of the boom penetrated the line slot on the underneath side of the line hose. As a result, the truck became energized. At the time, Luck was storing the hydraulic tamper on the derrick truck. He received an electric shock and suffered burns to his right hand.
- 5. At the time of the incident, the derrick truck was not grounded and Luck was wearing only work gloves.
 - 6. At the time of the incident, Schuette was in charge of the work site.
- 7. At the time of the incident, Luck and Schuette were KU employees and were acting within the scope of their employment.
- 8. NESC Rule 422A1 requires that, <u>inter alia</u>, when setting poles in or in the vicinity of energized lines, employees shall wear suitable insulating gloves.

- 9. NESC Rule 422A2 requires that contact with trucks, or other equipment which are not bonded to an effective ground, being used to set poles in or in the vicinity of energized lines shall be avoided by employees standing on the ground or in contact with grounded objects unless employees are wearing suitable protective equipment.
 - 10. Luck failed to comply with NESC Rules 422A1 and 422A2.
- 11. Schuette failed to see that all safety and operating procedures were observed by those under his direction. His failure is a violation of NESC Rule 421A which requires a person in charge to adopt such precautions as are within his authority to prevent accidents and to see that all safety rules and operating procedures are observed by those under his direction.

Based on its review of the Utility Accident Investigation Report and being otherwise sufficiently advised, the Commission finds that <u>prima facie</u> evidence exists that KU has failed to comply with Administrative Regulation 807 KAR 5:041, Section 3.

The Commission, on its own motion, HEREBY ORDERS that:

1. KU shall appear before the Commission on October 6, 1998, at 9:00 a.m., Eastern Daylight Time, in Hearing Room 1 of the Commission's offices at 730 Schenkel Lane, Frankfort, Kentucky, for the purpose of presenting evidence concerning the alleged violations of Administrative Regulation 807 KAR 5:041, Section 3, and of showing cause why it should not be subject to the penalties prescribed in KRS 278.990(1) for these alleged violations.

- 2. KU shall submit to the Commission within 20 days of the date of this Order a written response to the allegation contained in the Utility Accident Investigation Report.
- 3. The Utility Accident Investigation Report of August 14, 1997, a copy of which is appended hereto, is made part of the record of this proceeding.
- 4. Any motion requesting any informal conference with Commission Staff to consider any matter which would aid in the handling or disposition of this proceeding shall be filed with the Commission no later than 20 days from the date of this Order.

Done at Frankfort, Kentucky, this 27th day of May, 1998.

PUBLIC SERVICE COMMISSION

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Vice Chairman

Chaminaidaer

ATTECT.

Executive Director

APPENDIX A
AN APPENDIX TO AN ORDER OF THE KENTUCKY PUBLIC SERVICE COMMISSION IN CASE NO. 98-275 DATED MAY 27, 1998

August 14, 1997

Page 1

UTILITY ACCIDENT INVESTIGATION REPORT

	Y-11-2-11-2-11-2-11-2-11-2-11-2-11-2-11					
Utility:	Kentucky Utilities Company					
Reported By:	Carl Wise	····				
Dates & Times						
Accident Occurred:	06/19/97 - Approximately 11:30 a.m. (CST)					
Utility Notified:	06/19/97 - 12:45 p.m. (EST)					
PSC Notified:	06/19/97 - 2:02 p.m. (EST)					
Investigated:	06/20/97					
Written Report Rcvd:	06/24/97					
Location of Accident:	Kentucky Utilities Tunnel Hill Substation	n, 12990 HV	WY 416 W	est, Robard	ds, KY	
Description of Accident:	Kentucky Utilities Tunnel Hill Substation, 12990 HWY 416 West, Robards, KY A Kentucky Utilities Company crew was in the process of setting a thirty-five foot pole in line on an energized three phase 7200 Volt circuit at the entrance of KU's Tunnel Hill Substation off HWY 416 West, when Danny Luck was injured. The crew had covered the center phase and road phase with line hose. The field phase had been tagged out and the primary neutral was being held out of the way with an extendo stick by Shea Davis. Rodney Roberson was operating the digger derrick truck and holding the pole in place while Tommy Bingham shoveled dirt around the pole. Danny Luck was tamping the dirt around the pole with a hydraulic tamper. Danny Luck finished his job and was putting the tamper back on the truck when he received a shock and burn to the heal of his palm on his right hand. At the same moment, Rodney Roberson was removing the truck's boom out of the three phase circuit, when the pole grabber assembly on the end of the boom inadvertently penetrated the line slot on the underneath side of the line hose causing the truck to be energized. The truck was not grounded and Danny Luck was wearing only work gloves. Mike Schuette was the supervisor on site and in charge at the time of the accident.					
Victims:						
Name:	Mr. Danny Lee Luck	Fatal:	No	Age:	36	
Addr./Empl.:	700 HWY 145, Pool, Kentucky/Kentucky Utilities Company					
Injuries:	Burns on heel of palm on right hand just below base of thumb					

Witnesses:	Name		Address/Employment			
	Mike Schuette		Kentucky Utilities, Morganfield & Dixon, KY			
	Danny Luck		Kentucky Utilities, Dixon, KY			
	Rodney Roberson		Kentucky Utilities, Morganfield, KY			
			Kentucky Utilities, Dixon, KY			
			Kentucky Utilities, Morganfield, KY			
	Shea Davis	,	Kentucky Utilities, Di	xon, KY		
	Name		Address/Employment			
	Doug Mullins		Kentucky Utilities, Morganfield, KY			
	Ken Sheridan		Kentucky Utilities, Earlington, KY			
Sources of Information:	Mike Schuette		Kentucky Utilities, Morganfield, KY			
	Danny Luck		Kentucky Utilities, Dixon, KY			
	Tommy Bingham		Kentucky Utilities, Morganfield, KY			
	Shea Davis		Kentucky Utilities, Dixon, KY			
Probable Violations:	NESC, 1990 Edition Overhead Line Ope	2. General Operating Ru	ıles; Rule 4	22.A.1 & 2.		
Line Clearances At Point of Accident:	Measured	Minimum Allowed by NESC	Applicable NESC Edition ¹ 1990	Volt.	Constr. Date	
Phase Conductor to Ground Elevation:	FØ 29' - 4" CØ 29' - 9" RØ 29' - 5"	18' - 6"	1990	7200	1997	
Primary Neutral Conductor to Ground Elevation:	17' - 9"	15' - 6"	1990	N/A	1997	
Span Length:	261'					

Current edition adopted by the Commission. If clearances are not in compliance with the current edition, then the edition in effect when the facilities were last constructed or modified would apply.

August 14, 1997

Page 3

Date of Measurement:	06/20/97
Approximate Temp.:	Mid 80°F
Measurements Made By:	Mike Schuette and Larry Lee, Kentucky Utilities employees and John W. Land, PSC Engineering staff
Investigated By:	John W. Land
Signed:	Kolmu Kand

Attachments A. Kentucky Utilities Company's Accident Report B. Photographs of Accident Site

			1	Attachment A
 		Kentucky Utili	ties Company'	s Accident Report
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Accident Investigation Report Kentucky Utilities Company Mr. Danny Lee Luck



June 23, 1997

RECEIVED

JUN 24 1997

DIVISION OF UTILITY ENGINEERING & SERVICES

Mr. John Land Public Service Commission 730 Schenkel Lane P.O. Box 615 Frankfort, KY 40802

Dear Mr. Land:

SUBJECT: EMPLOYEE ACCIDENT - DANNY LUCK

DATE OF ACCIDENT: 06/19/97

Enclosed is a copy of a Report of Accident-Employee where Mr. Luck had his hand on truck after putting up Tamp when boom made contact causing shock and burn.

If you need additional information please advise.

Sincerely,

James A. Brackney, P.E.

Manager, Risk Management

JAB/ke Enclosure

cc: Ron Willhite

-	SERORE OF MODERNE PROPROVES		Company				
	REPORT OF INCIDENT - EMPLOYEE	478 Hwy	41 A South				
	KU 24-11 TO BE BE VE	Dixon	Address KY	42409			
Data of thi	is report 6-19-97 544 2 3 1997	City Location at which	· State	Zφ			
	number	• 1	xon				
	6/23/7/						
	Name Danny Luck RISK MANAGEMENT D	Sex_M Ag	<u>36 Wage 21.15</u> Per (Hour Week			
		oole	KY 42				
	Street	City	State	Zip			
WHO WAS	Area code and telephone number 502-533-6571	Married X Yes	No Date of birth 12/12	/60			
INJURED?	No. of children under 16 years No. of dependents						
	Lesa Luck 5-24-61 Wife Dust			65, 16iauG/13/ap			
	Occupation Lineman			·			
	Social security no. 405-02-3816 Department I	District Crew	Responsibility no. 14	8			
TIME AND	Date 6-19-97 Hour 11:30 P.M.	Did incident occur on employer's	premises? X Yes	No No			
PLACE OF INJURY	Place of incident Hwy 416	_Cairo	<u> </u>				
	Street	City	State				
	Name	Address	•	Phone			
WITNESSES TO THE	1. Mike Schuette	Poole, K	Y 51	33-6941			
INJURY	2. James Taylor	Sebree,	KA 8.	35-2019			
		000100;	<u> </u>				
	Parts of body injured Hand						
NATURE AND EXTENT OF	First Aid X Medical	Modified Duty	Days Lost T	ime Days			
INJURY	Date of first treatment by doctor 6-19-97	· · · · · · · · · · · · · · · · · · ·					
(Include All Iniuries)	Emergency room or attending physician Thaddeus Salmon Ac	ddress 1305 N Elm	St Henderson,	, KY			
H- 4 01100)	Date hospitalized Name and ad	dress of hospital Communi	ty Methodist Ho	spital			
 							
	Identify object, substance or machine which directly injured employee <u>Derrick Truck</u>						
	Whatwas employee doing when injured?(Be specific) Had put Hydraulic Tamp on truck						
							
	How did incident or exposure occur? (Tell what happened and how it?	nappened) After put	ing up Tamp ha	d hand on			
INCIDENT	truck when Boom made contact	•		~ ~ F			
			TE A	CERT			
	Describe events which resulted in injury			ILIN 2 / 1997			
			•				
				HSION OF UTI LI JFFRING & SER			
			- Fine in	errand a oun			
	Employee's signature		Date 6-19-97				
	Supervisor's signature Minde Shuffi		Date 6-70-97				
SIGNATURES	1						
	Report approved by		Date				
	(Dist. Mgr. or Dept. Head)						

FAX TRANSMISSION

KENTUCKY UTILITIES COMPANY

220 NORTH MORGAN STREET MORGANPIELD, KY 42437 (502) 389-2911 FAX: (502) 389-3101

1	
To John Land Date: 6-23-97	
7 1-5/4 1502	er sheet.
From: James Blackburn Subject: Imployment Listory	
Subject: Imployment History	
COMMENTS:	
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This facsimile may contain information that is privileged and confidential and/or exempt from disclosure under applicable law. This transmission is intended solely for the individual or entity designated above. If you are not the intended recipient, or the employee or agent responsible for delivering it to the intended recipient, you should understand that any distribution copy or use of the information contained in this facsimile by anyone other than the designated recipient is unauthorized and strictly prohibited. If you have received this facsimile in error, please immediately notify the sender by telephone.

EMPLOYMENT HISTORY FOR

DANNY LEE LUCK

SOCIAL SECURITY NUMBER 405-02-3816

Employed July 24, 1989 as Line Technician B in the Earlington District Crew # 2

11-19-89 - Promoted to Line Technician A in the Earlington District Crew # 2 at Sebree

11-18-90 - Progressive Increase - Line Technician A

11-17-91 - Progressive Increase - Line Technician A

02-09-92 - Added the responsibility of material keeper - Line Technician A

EMPLOYMENT HISTORY FOR

RODNEY C. ROBINSON

SOCIAL SECURITY NUMBER 406-23-6278

Employed December 26, 1989 as Full time Custodian in the Morganfield District and Division Offices

- 12-30-91 Transferred to the Division Construction Department as Line Technician C (Crew # 6)
- 01-10-93 Progressive Increase Line Technician C
- 03-07-93 Transferred to the Morganfield District Crew as Line Technician C
- 06-27 93 Promoted to Line Technician B in the Morganfield District Crew
- 06-26-94 Progressive Increase Line Technolan B
- 06-27-95 Progressive Increase Line Technician B
- 12-24-95 Promoted to Line Technician A in the Morganfield District Crew

KENTUCKY UTILITIES Co.

132 S. GREEN ST.
HENDERSON, KY 42420
502-826-1838
FAX: 502-827-9686

RECEVED

JUN 26 1997

DIVISION OF UTILITY ENGINEERING & SERVICES

June 24, 1997

John W. Land Public Service Commission P.O. Box 615 730 Schenkel Lane Frankfort, Ky 40602

Dear John:

RE: Accident 06-19-97

Enclosed are the drawings made by Larry Lee of the accident site and the drawings of the truck involved, also enclosed is a copy of the system map of the substation where the accident occurred. This site was last inspected on 02-10-97. The address of the substation is 12990 Hwy 416W Robards, Ky.

The pole where the accident happened was intended to be a temporary pole and there is not specific print for this pole. The district superintendent and the foreman laid it out verbally with the district engineer.

I believe this will complete the list of information that you requested, if not please let me know.

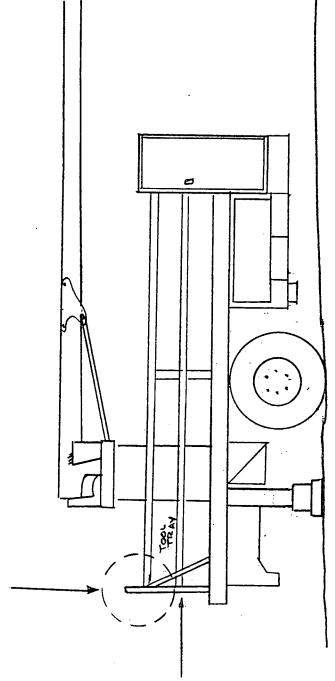
Sincerely,

Doug Mullins

Safety Coordinator

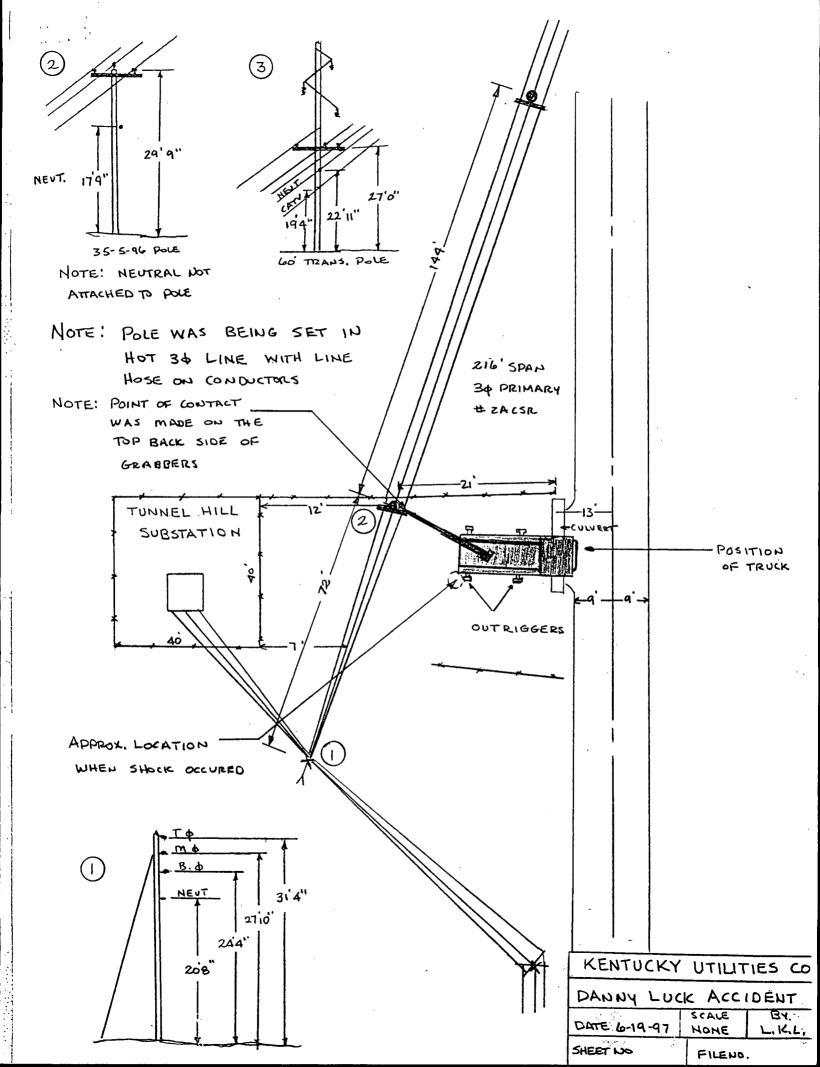
Enclosure

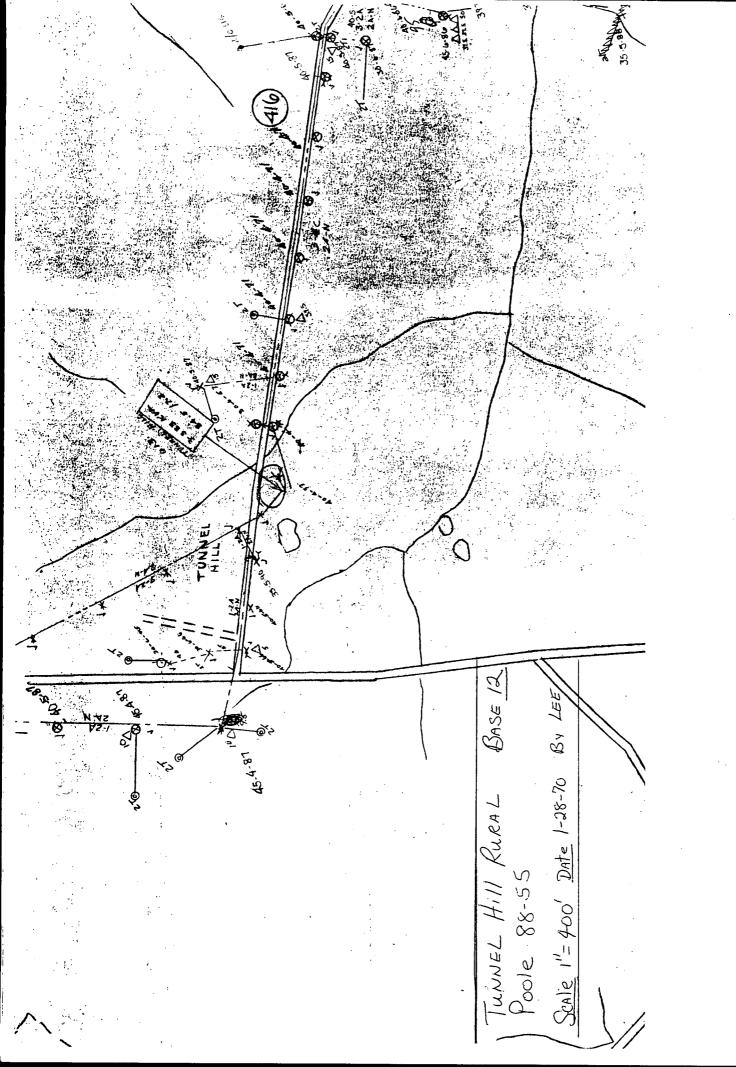
APPROXIMATE LOCATION OF WHERE HAND CAME INTO COUTACT WITH TRUCK,



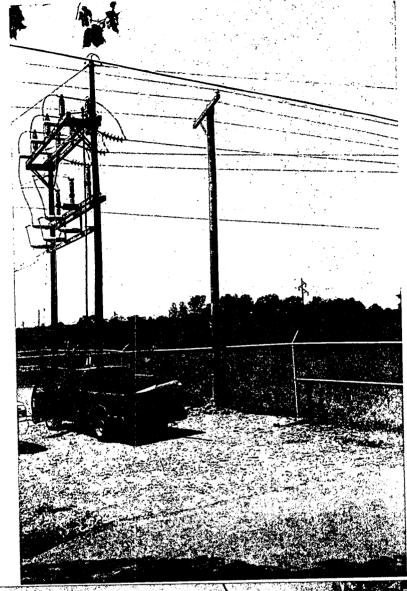
TAM PPER Put Here POINT OF COUTACT WITH LINE ON BACK SIDE

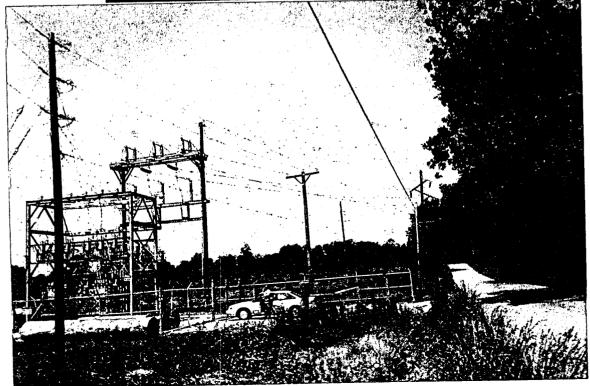






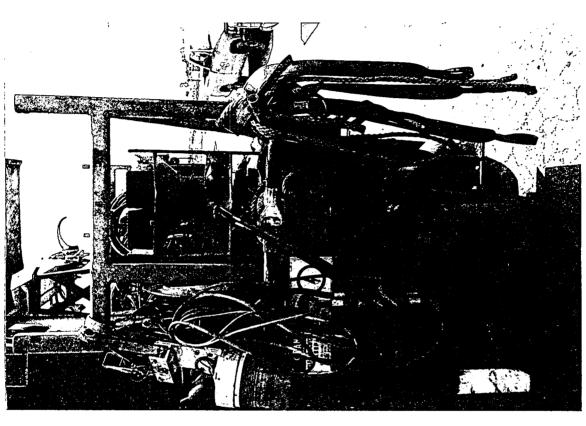
Attachment B
Photographs of Accident Site

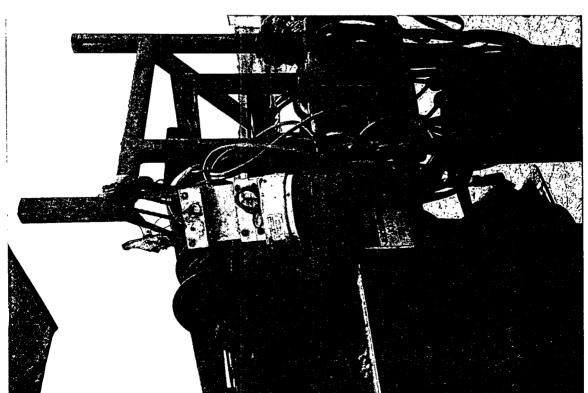


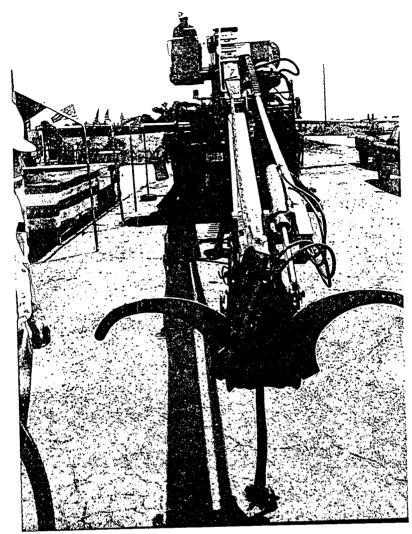


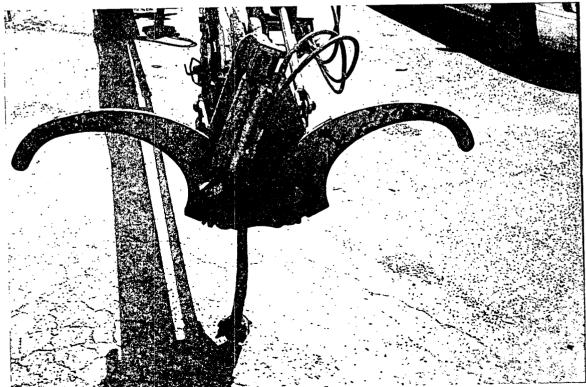


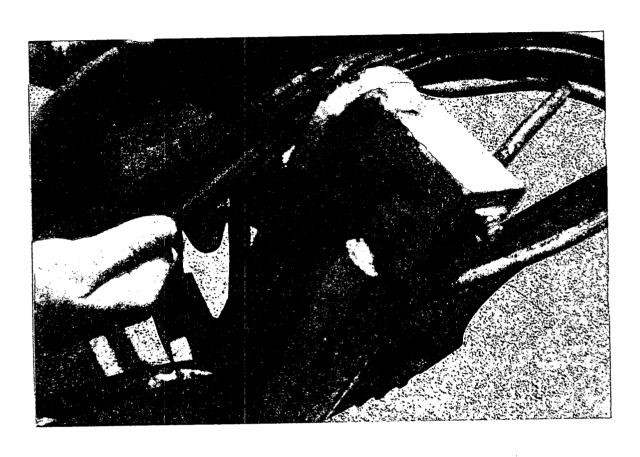
















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